

PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	SONYJP 3.0-189
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Complete If Known

Application Number	09/910,368
Filing Date	July 20, 2001
First Named Inventor	Takumi Okaue
Art Unit	2131
Examiner Name	Not Yet Assigned

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (#/known)			
AA	AA	5,953,419-A	09-14-1999	Lohstroh et al.	
AB	AB	5,787,175-A	07-28-1998	Carter	
AC	AC	6,530,020-B1	03-04-2003	Aoki	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ³ -Number ⁴ -Kind Code ⁵ (#/known)				
AA	BA	WO-00/31744-A1	06-02-2000	Memory Corporation Technology Limited		✓
BB	BB	JP-11-15373-A	01-22-1999	Fuji Xerox Co Ltd		1A
BC	BC	EP-1 228 407-A2	12-14-2000	The Secretary of State for Defence		✓
BD	BD	WO-00/39800-A1	07-06-2000	Sony Corporation		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AA	CA	WALDVOGEL, M. ET AL., "The VersaKey Framework: Versatile Group Key Management", IEEE Journal on Selected Areas in Communications, Vol. 17, No. 9, September 1999, pp. 1614-1631.	✓

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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

1A – U.S. Patent No. 6,530,020 is an English counterpart.

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Examiner Signature	<i>Asahiyoshi</i>	Date Considered	11/10/05
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		Number-Kind Code ² (if known)	MM-DD-YYYY		
AA	5,680,453-A	10-21-1997	Akiyama et al.		

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		
BA	GB-2 276 965-A	10-12-1994	ICL Personal Systems Oy		✓
BB	EP-0 377 706-B1	07-18-1990	NCR International, Inc.		✓

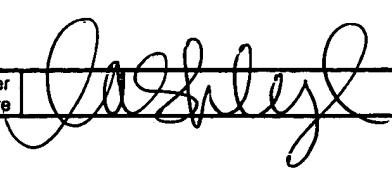
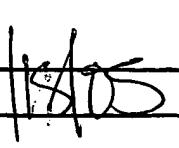
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Examiner Signature		Date Considered	
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